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(12) **EUROPEAN PATENT APPLICATION**

(43) Date of publication:  
 02.12.1998 Bulletin 1998/49

(51) Int. Cl.<sup>6</sup>: **G02F 1/225**

(21) Application number: **98109543.3**

(22) Date of filing: **26.05.1998**

(84) Designated Contracting States:  
**AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU**  
**MC NL PT SE**  
 Designated Extension States:  
**AL LT LV MK RO SI**

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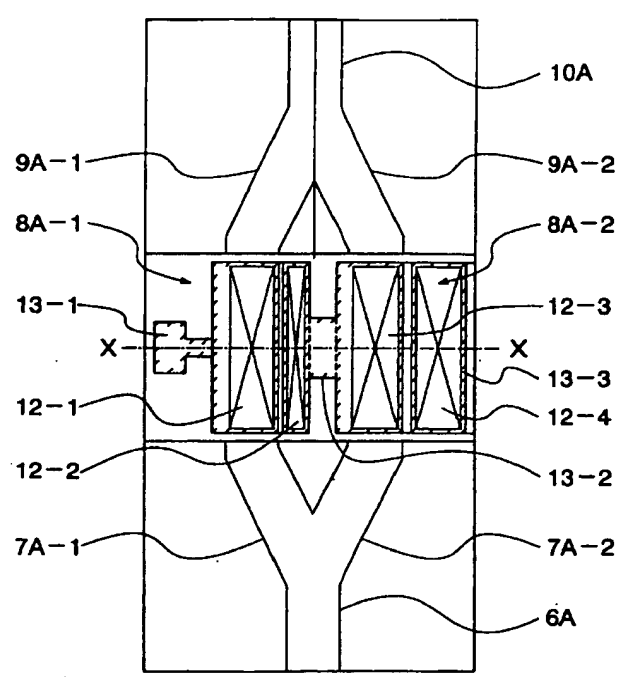
(30) Priority: **28.05.1997 JP 138505/97**

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(54) **Semiconductor Mach-Zehnder modulator**

(57) A semiconductor Mach-Zehnder modulator comprises a pair of phase modulator arm waveguides (8A-1, 8A-2) for a push-pull modulation. A first electrode (13-1) connected to p-type cladding layer (4-1) of modulator arm (8A-1) is maintained at a negative potential  $V_{\pi}$ , a second electrode (13-2) connected to n-type cladding layer (2-1a) of modulator arm (8A-1) and p-type

cladding layer (4-2) of modulator arm (8A-2) is driven by a drive voltage, and a third electrode (13-3) connected to n-type cladding layer (2a-2) of modulator arm (8A-2) is maintained at a ground potential. The drive voltage changes between  $V_{\pi}$  and  $V_{\pi/2}$  for push-pull modulation by both modulator arms (8A-1, 8A-2).



**FIG. 7A**

**EP 0 881 526 A2**

## Description

### BACKGROUND OF THE INVENTION

#### (a) Field of the Invention

The present invention relates to a semiconductor Mach-Zehnder modulator and, more particularly, to a semiconductor Mach-Zehnder modulator for use in an optical communication systems or optical data processing systems and driven with a single driver in a push-pull modulation scheme.

#### (b) Description of the Related Art

Recently, optical communication techniques are directed more and more to high bit-rate transmission. In the optical communication systems, most optical fibers installed in the world, especially around the North America, are 1.3  $\mu\text{m}$  zero dispersion fiber, and provides minimum loss in 1.55  $\mu\text{m}$  range in these fibers. Conventionally, a semiconductor laser direct modulation technique has been generally used in the optical communication. This technique, however, involves wavelength chirping due to dispersion when a high bit-rate 1.55  $\mu\text{m}$  range optical signal is transmitted through a 1.3  $\mu\text{m}$  zero dispersion fibers; thereby causing a signal distortion. The level of the distortion is generally proportional to a (bit rate)<sup>2</sup> × (transmission distance) product.

The problem wavelength chirping can be solved to some extent by employing an external modulation technique. Among other external modulators, an absorption type modulator exhibits smaller chirping compared to semiconductor lasers; however, not zero. On the other hand, if a Mach-Zehnder modulator, which uses optical interference as its operational principle, is used as an external modulator operating in a push-pull modulation scheme, the wavelength chirping can be entirely removed theoretically. Accordingly, Mach-Zehnder modulators are expected to be key external modulators for use in ultra high-speed and long distance optical communication systems.

Some known Mach-Zehnder modulators have dielectric substances such as  $\text{LiNbO}_3$ . On the other hand, semiconductor Mach-Zehnder modulators are considered to be advantageous over the dielectric type Mach-Zehnder modulators, in view of the integration capability with optical elements such as semiconductor lasers or semiconductor optical amplifiers and electric elements such as FETs, as well as in view of their smaller dimensions and lower power consumption. Fig. 1A shows a conventional semiconductor Mach-Zehnder modulator in a perspective view, and Fig. 1B is a cross-sectional view taken along X-X in Fig. 1A.

The semiconductor Mach-Zehnder modulator of Fig. 1A comprises an input waveguide 6, a pair of input branch waveguides 7-1 and 7-2 branching off input waveguide 6, a pair of phase modulators 8-1 and 8-2

receiving inputs from respective branch waveguides 7-1 and 7-2, a pair of output branch waveguides 9-1 and 9-2 receiving outputs from respective phase modulators 8-1 and 8-2, and an output waveguide 10 receiving combined output from output branch waveguides 9-1 and 9-2.

The Mach-Zehnder modulator of Fig. 1A is fabricated by depositing consecutively undoped InP layer 102, undoped  $\text{In}_x\text{Ga}_{1-x}\text{As}_y\text{P}_{1-y}$  layer 103 ( $\lambda_{PL}=1.3\mu\text{m}$ ), p-type InP layer 104 on an n-type InP substrate 101, patterning specified deposited layers to form a combined mesa structure, and forming independent drive electrodes 105-1 and 105-2 and a common electrode 106 of the phase modulators, as shown in Fig. 1B.

The semiconductor Mach-Zehnder modulator generally uses changes in the refractive index generated upon a reverse-bias voltages applied to a p-n junction. The optical characteristic of the semiconductor Mach-Zehnder modulator is shown in Fig. 2, wherein the optical output thereof is plotted against the drive voltage (reverse bias voltage). The curve denoted by "V1" shows a single arm drive wherein one of the modulators is driven, whereas the curve denoted by "V1 & V2" shows a double arm drive wherein both the modulators are driven for a push-pull modulation.

Fig. 3 shows a timing chart for the push-pull modulation of the modulator such as shown in Figs. 1A and 1B, wherein modulator 8-1 is applied with a reverse bias voltage V1 through electrode 105-1 changing between 0 and  $V_{\pi/2}$  whereas modulator 8-2 is applied with a reverse bias voltage V2 through electrode 105-2 changing between  $V_{\pi/2}$  and  $V_{\pi}$  in opposite phase with respect to the voltage V1, wherein  $V_{\pi}$  provides a phase shift of  $\pi$  to the phase modulator whereas  $V_{\pi/2}$  provides a phase shift of  $\pi/2$ . As shown in Fig. 2, drive voltage for the double arm modulation (V1 & V2), i.e., push-pull modulation, is about a half of that for the single arm modulation (V1) for a specified optical output.

John C. Cartledge et al. report that a double arm modulation scheme achieves a transmission distance which is double the transmission distance obtained by a single arm modulation scheme, in an article "Dispersion Compensation for 10 Gb/s Lightwave Systems Based on a Semiconductor Mach-Zehnder Modulator", IEEE Photonics Technology. Letters, 1995 February, Vol. 7, No. 2, pp224-226.

Fig. 4 shows full pulse width (ps) at half maximum for a Gauss pulse plotted against fiber length (km) for a single arm modulation and a double arm (push-pull) modulation of the Mach-Zehnder modulator, obtained in our experiments. As understood from Fig. 4, push-pull modulation achieves a small waveform distortion due to pulse compression and thus maintains a half-value width better than a single arm modulation. From the results, it is considered that the push-pull modulation can provide a double or triple transmission distance compared to the single arm modulation.

A push-pull driven semiconductor Mach-Zehnder

modulator, such as 301 shown in Fig. 5, generally requires a pair of drivers 200-1 and 200-2 for applying drive voltages to electrodes 302 and 303 of the respective phase modulators and a timing generator 203 for driving the phase modulators 301 exactly in opposite phases. It is difficult to accurately adjust the timing by the timing generator 203, especially at higher frequencies, for example, over 2.5 Gb/s, which fact renders the operation of the phase modulator arms to be difficult at such high frequencies.

#### SUMMARY OF THE INVENTION

It is therefore an object of the present invention to provide a semiconductor Mach-Zehnder modulator which is capable of operating in a push-pull modulation by using a single driver at higher frequencies over 2.5 Gb/s.

It is another object of the present invention to provide a method for manufacturing such a semiconductor Mach-Zehnder modulator.

The present invention provides a semiconductor Mach-Zehnder modulator comprising a substrate and a combination waveguide overlying the substrate, the combination waveguide including an input optical waveguide, first and second input branch optical waveguides branching off the input optical waveguide, first and second modulator arm waveguides optically coupled to the first and second input branch optical waveguides, respectively, first and second output branch optical waveguides optically coupled to the first and second modulator arm waveguides, respectively, and an output optical waveguide optically coupled to both the first and second output branch optical waveguides, each of the waveguides having a first cladding layer of a first conductivity type, a second cladding layer of a second conductivity type and an undoped optical guide layer sandwiched between the first cladding layer and the second cladding layer, the first modulator arm waveguide having a first electrode electrically connected to the second cladding layer thereof and a second electrode electrically connected to the first cladding layer thereof and to the second cladding layer of the second modulator arm waveguide, the second modulator arm waveguide having a third electrode electrically connected to the first cladding layer thereof.

The present invention also provides a method for manufacturing a semiconductor Mach-Zehnder modulator comprising the steps of forming a combination mesa structure overlying a substrate, the combination mesa structure including an input optical waveguide, first and second input branch optical waveguides branching off the input optical waveguide, first and second modulator arm waveguides optically coupled to the first and second input branch optical waveguides, respectively, first and second output branch optical waveguides optically coupled to the first and second modulator arm

waveguides, respectively, an output optical waveguide optically coupled to both the first and second output branch optical waveguides, each of the undoped waveguides having consecutively a first cladding layer of a first conductivity type, an optical guide layer, a second cladding layer of a second conductivity type, and forming a first electrode electrically connected to the second cladding layer of the first modulator arm waveguide, a second electrode connected to the first cladding layer of the first modulator arm waveguide and the second cladding layer of the second modulator arm waveguide, and a third electrode connected to the first cladding layer of the second modulator arm waveguide.

With the semiconductor Mach-Zehnder modulator according to the present invention and manufactured by the method of the present invention can be driven in a push-pull modulation by using a single driver, which is advantageous over the conventional Mach-Zehnder modulator having two drivers and an associated timing generator for push-pull drive thereof.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figs. 1A and 1B are perspective view and cross-sectional view, respectively, of a conventional semiconductor Mach-Zehnder modulator;

Fig. 2 is a graph of optical output plotted against drive voltage in the conventional modulator for single arm drive and double arm drive;

Fig. 3 is a timing chart of double arm drive in conventional modulator;

Fig. 4 is a graph of full pulse width at half maximum plotted against transmission distance for single arm drive and double arm drive;

Fig. 5 is a schematic block diagram of a conventional double arm drive modulator;

Fig. 6 is a schematic diagram of a semiconductor Mach-Zehnder modulator according to an embodiment of the present invention;

Figs. 7A and 7B are top plan view and cross-sectional view, respectively, of the semiconductor Mach-Zehnder modulator of Fig. 6;

Figs. 8A and 8B are top plan view and cross-sectional view, respectively, of the modulator of Figs. 7A and 7B at a step of fabrication process thereof; Figs. 9A and 9B are top plan view and cross-sectional view, respectively, of the modulator of Figs. 7A and 7B at another step of fabrication process thereof;

Figs. 10A and 10B are top plan view and cross-sectional view, respectively, of the modulator of Figs. 7A and 7B at another step of fabrication process thereof;

Fig. 11A is timing chart of the modulator of the first embodiment;

Fig. 11B is a graph of optical output plotted against drive voltage in the first embodiment;

Figs. 12A and 12B are top plan view and cross-sec-

tional view, respectively, of a semiconductor Mach-Zehnder modulator according to a second embodiment of the present invention;

Figs. 13A and 13B are top plan view and cross-sectional view, respectively, of the modulator of Figs. 12A and 12B at a step of fabrication process thereof;

Figs. 14A and 14B are top plan view and cross-sectional view, respectively, of the modulator of Figs. 12A and 12B at another step of fabrication process thereof;

Figs. 15A and 15B are top plan view and cross-sectional view, respectively, of the modulator of Figs. 12A and 12B at another step of fabrication process thereof;

Figs. 16A and 16B are top plan view and cross-sectional view, respectively, of the modulator of Figs. 12A and 12B at another step of fabrication process thereof; and

Figs. 17A and 17B are top plan view and cross-sectional view, respectively, of the modulator of Figs. 12A and 12B at another step of fabrication process thereof.

#### PREFERRED EMBODIMENTS OF THE INVENTION

Referring to Fig. 6, a semiconductor Mach-Zehnder modulator according to an embodiment of the present invention comprises a pair of phase modulator arms (referred to as simply modulator arms) 201 and 202 and a driver 200 for driving the phase modulator arms 201 and 202 in a push-pull modulation. P-electrode of phase modulator arm 201 is maintained at a constant negative voltage of  $V_{\pi}$  and n-electrode of the phase modulator arm 201 is driven by a driver 200. P-electrode of phase modulator arm 202 connected to n-electrode of modulator arm 201 is driven by driver 202 and n-electrode of phase modulator arm 202 is maintained at a ground potential.

In operation, drive voltage changes between the negative potentials  $V_{\pi}$  and  $V_{\pi/2}$  so that both the modulator arms are 201 and 202 are driven in a push-pull modulation.  $V_{\pi}$  may be -4 volts, for example, depending on the length of the modulator arms. When the drive voltage assumes  $V_{\pi/2}$ , both the modulator arm 201 is driven by a reverse bias voltage of  $V_{\pi/2}$  and provides output signals having the same phase shift of  $\pi/2$  with respect to the input optical signal, which provides an ON state of the output optical signal. When the drive voltage assumes  $V_{\pi}$ , modulator arm 201 is driven with zero volt, which makes a phase shift of zero in the output of modulator arm 201, whereas modulator arm 202 is driven with  $V_{\pi}$ , which makes a phase shift of  $\pi$  in the output of modulator arm 202. That is, the outputs of both modulator arms 201 and 202 are cancelled by each other due to the opposite phase therebetween, thereby providing an OFF state of the output optical signal. In this manner, the Mach-Zehnder modulator of Fig. 6 operates in a

push-pull modulation by using a single driver.

Referring to Fig. 7A showing the configuration of the semiconductor Mach-Zehnder modulator of Fig. 6, the modulator comprises a combination mesa structure including an input optical waveguide mesa 6A, a pair of input branch optical waveguide mesas 7A-1 and 7A-2 branching off the input optical waveguide mesa 6, a pair of modulator arm mesas 8A-1 and 8A-2 for modulating optical signals supplied from input optical waveguide mesas 7A-1 and 7A-2, respectively, a pair of output branch optical waveguide mesas 9A-1 and 9A-2 receiving optical outputs from phase modulator mesas 8A-1 and 8A-2, respectively, and an output optical waveguide mesa 10A for receiving a combined output from the output optical waveguide mesas 9A-1 and 9A-2.

Referring to Fig. 7B showing a cross-sectional view taken along X-X in Fig. 7A, each of the modulator mesas 8A-1 and 8A-2 of the combination mesa structure comprises an n-type InP cladding layer 2-1a or 2-2a, an optical waveguide layer 3-1 or 3-2, a p-type InP cladding layer 4-1 or 4-2, a p-type cap layer 5-1 or 5-2 consecutively formed on the main surface of the semi-insulating substrate 1 to form the mesa structure, with n-type cladding layer 2-1a of modulator arm 8A-1 connected to p-type InGaP cap layer 5-2 of modulator arm 8A-2 through an electrode 13-2. The optical guide layers 3-1 and 3-2 are formed as multi-quantum-well (MQW) layers.

A method for manufacturing the semiconductor Mach-Zehnder modulator of the present embodiment will be described with reference to Figs. 7A and 7B to Figs. 10A and 10B, wherein Fig. 8B, for example, is a cross-sectional view taken along line X-X in Fig. 8A, and the same applies to other drawings. As shown in Fig. 8B,  $1\mu\text{m}$ -thick n-type InP cladding layer 2 having a carrier density of  $1 \times 10^{18} \text{ cm}^{-3}$ , optical waveguide layer 3 of a MQW structure, which has 30-cycle layer combinations each including 10nm-thick undoped InP barrier film and 10nm-thick undoped  $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}_{1-x}\text{P}_{1-x}$  quantum well film having a wavelength composition of  $1.52\mu\text{m}$ ,  $1.0\mu\text{m}$ -thick p-type InP cladding layer 4 having a carrier density of  $5 \times 10^{17} \text{ cm}^{-3}$  and  $0.2\mu\text{m}$ -thick p-type  $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$  cap layer 5 having a carrier density of  $1 \times 10^{18} \text{ cm}^{-3}$  are consecutively grown on a (100) face of a semi-insulating InP substrate 1 by using a metal-organic vapor phase epitaxial (MOVPE) technique.

Subsequently, InGaAs cap layer 5, InP cladding layer 4, optical waveguide layer 3 and a top portion of n-InP cladding layer 2 are selectively etched by a reactive ion beam etching (RIBE) technique using a suitable mask to form a combination mesa structure including input waveguide mesa 6A, a pair of branch waveguide mesas 7A-1 and 7A-2, a pair of modulator arm mesas 8A-1a and 8A-2a, a pair of branch waveguide mesas 9A-1 and 9A-2, and output waveguide mesa 10A, as shown in Figs 9A and 9B. A small space of  $2\mu\text{m}$  or lower is disposed between each modulator arm mesa 8A-1a or 8A-2a and branch waveguide mesa 7A-1, 7A-2, 9A-1

or 9A-1.

Subsequently, the remaining bottom portion of n-type cladding layer 2a together with a small top portion of the substrate 1 is selectively removed by a RIBE technique using a suitable mask, as shown in Figs. 10A and 10B, to electrically separate modulator arm mesa 8A-1b from modulator arm mesa 8A-2b and each modulator arm mesa 8A-1b or 8A-1b from the rest of the mesas. In this etching step, contact regions 2a-1 and 2a-2 of the InP cladding layer connected to respective modulator arm mesas 8A-1 and 8A-2 are left.

Subsequently, as shown in Figs. 7A and 7B, 0.3 $\mu$ m-thick SiO<sub>2</sub> film 11 is deposited on the entire surface, followed by selective etching thereof using a suitable mask to form openings 12-1, 12-2, 12-3 and 12-4 for exposing cap layer 5-1 of modulator arm mesa 8A-1, contact region 2a-1, cap layer 5-2 of modulator arm mesa 8A-2 and contact region 2a-2, respectively. Thereafter, Ti/Pt/Au films are formed on the entire surface by an evaporation technique, followed by patterning thereof to form electrodes 13-1, 13-2 and 13-3 which are in contact with p-type In<sub>0.53</sub>Ga<sub>0.47</sub>As cap layer 5-1 of modulator arm mesa 8A-1, contact region 2a-1 of n-type cladding layer 2-1a as well as p-type In<sub>0.53</sub>Ga<sub>0.47</sub>As cap layer 5-2 of modulator arm mesa 8A-2, and contact region 2a-2 of n-type cladding layer 2-2a, respectively, to complete the structure of Figs 7A and 7B.

The semiconductor Mach-Zehnder modulator thus fabricated has a length of 500 $\mu$ m for both the modulator arm mesas 8A-1 and 8A-2, which length enables a reverse bias voltage of 4 volts applied between both the electrodes of modulator arm to provide a phase shift of  $\pi$  in the output optical signal, i.e., in this modulator,  $V_{\pi}=4$  volts.

In operation,  $V_{\pi}$  and zero volts are applied to electrodes 13-1 and 13-3, respectively, whereas a drive voltage changing between  $V_{\pi/2}$  and  $V_{\pi}$  in a square waveform is supplied to contact region 2a-1 of modulator arm 8A-1 and p-type cap layer 5-2 of modulator arm 8A-2 through electrode 13-2. Fig. 11A shows a timing chart for the drive voltage and the optical output of the modulator of Figs 7A and 7B. When the drive voltage assumes a high (negative) level of  $V_{\pi}$ , modulator arm 8A-1 is applied with zero volt between both the electrodes thereof and modulator arm 8A-2 is applied with a reverse bias voltage of  $V_{\pi}$  between both the electrodes thereof. Accordingly, a phase difference of  $\pi$  appears between both modulator arms 8A-1 and 8A-2, resulting in an OFF state of the output branch waveguide 10A.

When the drive voltage assumes a low level of  $V_{\pi/2}$ , both modulator arms 8A-1 and 8A-2 are applied with a reverse bias voltage of  $V_{\pi/2}$ . Accordingly, a phase difference of zero appears between the outputs of both modulator arms 8A-1 and 8A-2, resulting in an ON state of the output branch waveguide 10A. Thus, by applying a drive voltage changing between  $V_{\pi}$  and  $V_{\pi/2}$  to electrode 13-2, a push-pull modulation can be obtained in the semiconductor Mach-Zehnder modulator of the

present embodiment. In this configuration, driving by a single driver enables a simple driving, which does not require a timing generator.

The voltage  $V_{\pi/2}$  may be replaced by  $V_{\pi}/2$  in the above embodiment, which may, however, degrade the ON/OFF ratio of the modulator due to the nonlinear characteristic of the modulator arms.

In an alternative of the above fabrication process, another process may be employed, wherein 0.3 $\mu$ m-thick n-type InP layer is deposited on the entire surface of a semi-insulating substrate, followed by consecutive selective growth of 0.7 $\mu$ m-thick n-type InP layer, optical guide layer, p-type InP cladding layer, and p-type In<sub>0.53</sub>Ga<sub>0.47</sub>As cap layer by using SiO<sub>2</sub> film as a growth prevention mask to form the structure of Figs. 9A and 9B. Other steps may be similar to the steps as described before.

Figs. 12A and 12B show, similarly to Figs. 7A and 7B, a semiconductor Mach-Zehnder modulator according to a second embodiment of the present invention. The semiconductor Mach-Zehnder modulator of the present embodiment is similar to the first embodiment except that modulator arm mesa 8B-2 of the present embodiment has p-type InP cladding layer 4B, optical guide layer 3A, n-type InP cladding layer 2B and n-type In<sub>0.53</sub>P<sub>0.47</sub>As cap layer 5B, that both contact region 2Aa-1 connected to n-type InP cladding layer 2A of modulator arm 8B-1 and contact region 4Ba-1 connected to p-type cladding layer 4B of modulator arm 8B-2 are connected through electrode 13A-2, and that n-type In<sub>0.53</sub>P<sub>0.47</sub>As cap layer 5B of modulator arm 8B-2 is connected to electrode 13A-3.

In the manufacture of the semiconductor Mach-Zehnder modulator of the present embodiment, 0.1 $\mu$ m-thick SiO<sub>2</sub> film 15 is formed on a (100) face of a semi-insulating InP substrate 1 as a rectangular growth prevention mask, followed by consecutive deposition of 1.0 $\mu$ m-thick n-type InP cladding layer 2A having a carrier density of  $1 \times 10^{18}$  cm<sup>-3</sup>, MQW optical guide layer 3A which is similar to optical guide layer 3 in the first embodiment. 1.0 $\mu$ m-thick p-type InP cladding layer 4A having a carrier density of  $5 \times 10^{17}$  cm<sup>-3</sup>, and 0.2 $\mu$ m-thick p-type In<sub>0.53</sub>Ga<sub>0.47</sub>As cap layer 5A having a carrier density of  $5 \times 10^{18}$  cm<sup>-3</sup> by using a MOVPE technique, as shown in Figs. 13A and 13B.

Subsequently, layers from cap layer 5A to a top portion of n-type InP cladding layer 2A are selectively removed by etching to form a combination mesa structure including an input waveguide mesa 6B, a pair of branch waveguide mesas 7B-1 and 7B-2, a modulator arm mesa 8B-1, a pair of branch waveguide mesas 9B-1 and 9B-2, and an output waveguide mesa 10B. One edge of modulator arm mesa 8B-1 is in contact with the near edge of SiO<sub>2</sub> mask film 15, whereas one end of each of branch waveguide mesas 7B-2 and 9B-2 is in contact with corresponding edge of SiO<sub>2</sub> mask film 15.

After removing SiO<sub>2</sub> mask film 15, another 0.1 $\mu$ m-thick SiO<sub>2</sub> film is formed on the entire surface, followed

by patterning thereof by a photolithographic technique to form SiO<sub>2</sub> mask film 16 covering the entire surface except for the most part of the area which was covered by SiO<sub>2</sub> mask film 15. That is, the growth region not covered by SiO<sub>2</sub> mask film 16 has a shape similar to the shape of SiO<sub>2</sub> growth prevention mask film 15 and is a little smaller than SiO<sub>2</sub> mask film 15.

Subsequently, a MOVPE process is employed to form consecutively 1.0μm-thick p-type InP cladding layer 4B having a carrier density of  $1 \times 10^{18} \text{ cm}^{-3}$ , MQW optical guide layer 3B similar to optical guide layer 3A, 1.0μm-thick n-type InP cladding layer 2B having a carrier density of  $5 \times 10^{17} \text{ cm}^{-3}$ , and 0.2μm-thick n-type In<sub>0.53</sub>Ga<sub>0.47</sub>As cap layer 5B having a carrier density of  $1 \times 10^{18} \text{ cm}^{-3}$  on the growth region not covered by SiO<sub>2</sub> mask film 16, as shown in Fig 15A and 15B.

Subsequently, layers from cap layer 5B to a top portion of cladding layer 4B are patterned to form a modulator arm mesa 8B-2a which has an input end opposed to an open end of branch waveguide mesa 7B-2 with a small space disposed therebetween, an output end opposed to an open end of branch waveguide mesa 9B-2 with a small space disposed therebetween, and a side opposed to the near side of modulator arm mesa 8B-1a, as shown Fig 16A and 16B. The small space between adjacent waveguides is equal to or smaller than 2μm. SiO<sub>2</sub> mask film 16 is then removed, followed by patterning the remaining portions 2Aa and 2Ba of n-type cladding layers 2A and 2B as well as a small top portion of substrate 1 to leave contact regions 2Aa-1 and 2Ba-1.

Subsequently, as shown in Figs. 12A and 12B, 0.3μm-thick SiO<sub>2</sub> film 18 is deposited on the entire surface, followed by etching thereof to form openings 12A-1, 12A-2 and 12A-3 for exposing a portion of cap layer 5A of modulator arm mesa 8B-1a, contact regions 2Aa-1 and 2Ba-1, and a portion of cap layer 5B of modulator arm mesa 8B-2a, respectively. Ti/Pt/Au films are then deposited by an evaporation technique on the entire surface, followed by patterning thereof to form electrodes 13A-1, 13A-2 and 13A-3 for cap layer 5A, contact regions 2Aa-1 and 4Ba-1, and cap layer 5B, respectively.

In the present embodiment, the layer structure of modulator arm mesa 8B-2a is an inverse of the layer structure of modulator arm mesa 8B-1a. However, the structure of pn-pn junctions is similar in both modulator arm mesas, and accordingly, operation of the modulator of the second embodiment is similar to the first embodiment.

In an alternative of the above fabrication process, another process may be employed, wherein after forming first SiO<sub>2</sub> mask film 15 for growth prevention in Figs. 13A and 13B, 0.3μm-thick n-type InP layer is deposited, followed by formation of second SiO<sub>2</sub> growth prevention mask covering the entire surface other than the region for forming the mesas. Then, 0.7μm-thick n-type cladding layer, optical guide layer, p-type cladding layer, and p-type In<sub>0.53</sub>Ga<sub>0.47</sub>As cap layer are consecutively

grown to form a structure similar to the structure of Figs. 14A and 14B. Subsequently, after removing second SiO<sub>2</sub> mask film and forming third SiO<sub>2</sub> mask film 17 such as shown in Figs. 15A and 15B, 0.3μm-thick p-type InP cladding layer is formed, followed by removal of third SiO<sub>2</sub> mask film 17 and formation of fourth SiO<sub>2</sub> mask film for growth prevention not shown. Then, 0.7μm-thick p-type InP cladding layer, optical guide layer, n-type InP cladding layer, and n-type In<sub>0.53</sub>Ga<sub>0.47</sub>As are consecutively formed by selective growth technique to form the structure of Figs. 16A and 16B. Subsequent steps are similar to those as described before.

In the above embodiments, InP based compound semiconductors are used including the MQW structure formed by InP/In<sub>x</sub>Ga<sub>1-x</sub>AsyP<sub>1-y</sub>. The MQW structure may be formed by InP/In<sub>x</sub>Al<sub>1-x</sub>As films, In<sub>x</sub>Ga<sub>1-x</sub>AsyP<sub>1-y</sub>/In<sub>y</sub>Ga<sub>1-y</sub>Al<sub>w</sub>As<sub>1-w</sub> films, or GaAs/Al<sub>x</sub>Ga<sub>1-x</sub>As based semiconductors. Further, the structure of the optical guide layer, structure or location of the driver or wavelength may be selected depending on the design.

Since the above embodiments are described only for examples, the present invention is not limited to the above embodiments and various modifications or alterations can be easily made therefrom by those skilled in the art without departing from the scope of the present invention.

#### Claims

1. A semiconductor Mach-Zehnder modulator comprising a substrate (1) and a combination waveguide formed on said substrate (1), said combination waveguide including an input optical waveguide (6A), first and second input branch optical waveguides (7A-1, 7A-2) branching off said input optical waveguide (6A), first and second modulator arm waveguides (8A-1, 8A-2) optically coupled to said first and second input branch optical waveguides (7A-1, 7A-2), respectively, first and second output branch optical waveguides (9A-1, 9A-2) optically coupled to said first and second modulator arm waveguides (8A-1, 8A-2), respectively, and an output optical waveguide (10A) optically coupled to both said first and second output branch optical waveguides (9A-1, 9A-2), each of said waveguides (6A, 7A-1, 7A-2, 8A-1, 8A-2, 9A-1, 9A-2, 10A) having a first cladding layer (2-1a, 2-2a) of a first conductivity type, a second cladding layer (4-1, 4-2) of a second conductivity type and an optical guide layer (3-1, 3-2) sandwiched between said first cladding layer and said second cladding layer, characterized in that  
 said first modulator arm waveguide (8A-1) has a first electrode (13-1) electrically connected to said second cladding layer (4-1) thereof and a second electrode (13-2) electrically connected to said first cladding layer (2-1a) thereof and to said second cladding layer (4-2) of said second modulator

- arm waveguide (8A-2), and said second modulator arm waveguide (8A-2) has a third electrode (13-3) electrically connected to said first cladding layer (2-2a) thereof.
2. A semiconductor Mach-Zehnder modulator as defined in claim 1, wherein said first and third electrodes (13-1, 13-3) are maintained at first and second potentials, respectively, and said second electrode (13-2) is applied with a drive voltage for push-pull modulation of said modulator arm waveguides.
  3. A semiconductor Mach-Zehnder modulator as defined in claim 2, wherein said drive voltage changes between said first potential and a substantially mean potential of said first potential and said second potential.
  4. A semiconductor Mach-Zehnder modulator as defined in claim 1, wherein an order of said first cladding layer (2A, 2B), said optical guide layer (3A, 3B) and said second cladding layer (4A, 4B) as viewed from said substrate (1) is opposite between said first modulator arm waveguide (8B-1) and said second modulator arm waveguide (8B-2).
  5. A semiconductor Mach-Zehnder modulator as defined in claim 1, wherein said optical guide layer (3-1, 3-2) is a multiple-quantum-well layer.
  6. A semiconductor Mach-Zehnder modulator as defined in claim 1, wherein each of said first and second modulator arm waveguides (8A-1, 8A-2) further has a cap layer (5-1, 5-2) in an electrical contact with said second cladding layer (4-1, 4-2).
  7. A semiconductor Mach-Zehnder modulator as defined in claim 1, wherein said first and second conductivity types are n-type and p-type, respectively, and said first and second potentials are negative potential and ground potential, respectively.
  8. A method for manufacturing a semiconductor Mach-Zehnder modulator comprising a combination mesa structure including an input optical waveguide (6A), first and second input branch optical waveguides (7A-1, 7A-2) branching off said input optical waveguide (6A), first and second modulator arm waveguides (8A-1, 8A-2) optically coupled to said first and second input branch optical waveguides (7A-1, 7A-2), respectively, first and second output branch optical waveguides (9A-1, 9A-2) optically coupled to said first and second modulator arm waveguides (8A-1, 8A-2), respectively, an output optical waveguide (10A) optically coupled to both said first and second output branch optical waveguides (9A-1, 9A-2), each of said waveguide (6A, 7A-1, 7A-2, 8A-1, 8A-2, 9A-1, 9A-2, 10A) having consecutively a first cladding layer (2-1a, 2-2a) of a first conductivity type, an optical guide layer (3-1, 3-2), a second cladding layer (4-1, 4-2) of a second conductivity type, characterized by forming a first electrode (13-1) electrically connected to said second cladding layer (4-1) of said first modulator arm waveguide (8-1), a second electrode (13-2) connected to said first cladding layer (2-1a) of said first modulator arm waveguide (8A-1) and said second cladding layer (4-2) of said second modulator arm waveguide (8A-2), and a third electrode (13-3) connected to said first cladding layer (2-2a) of said second modulator arm waveguide (8-2).
  9. A method as defined in claim 8, further comprising the steps of forming consecutively said first cladding layer (2), said active layer (3) and said second cladding layer (4) on an entire surface of said substrate (1), and selectively etching said second cladding layer (4), said active layer (3) and a top portion of said first cladding layer (2) to form said combination mesa structure.
  10. A method as defined in claim 9, further comprising, after said selectively etching step, the step of selectively removing a bottom portion of said first cladding layer (2) to leave a contact region (2a-1, 2a-2) for said first cladding layer (2-1a, 2-2a) of each of said first and second modulator arm waveguides (8A-1, 8A-2).
  11. A method as defined in claim 8, further comprising the steps consecutively forming said first cladding layer (2A), said active layer (3A) and said second cladding layer (4A) of said combination mesa structure except for said second modulator arm waveguide (8B-2), and growing consecutively said second cladding layer (4B), said active layer (3B) and said first cladding layer (2B) for said second modulator arm waveguide (8B-2).
  12. A method as defined in claim 11, wherein said growing step is effected by a selective growth technique.
  13. A method as defined in claim 12, further comprising the step of forming a contact region (2Aa-1) for said first cladding layer (2B) of said first modulator arm waveguide (8B-1) and a contact region (4Ba-1) for said second cladding layer (4B) of said second modulator arm waveguide (8B-2).

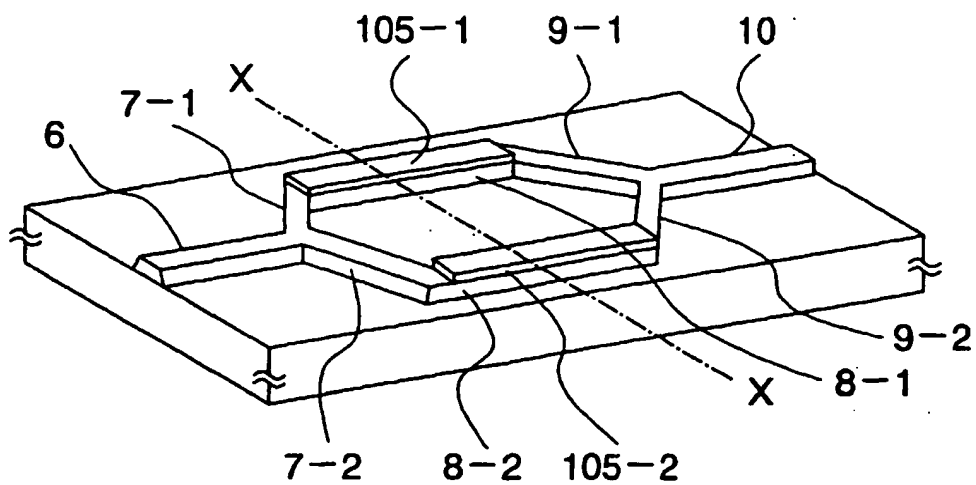


FIG. 1A PRIOR ART

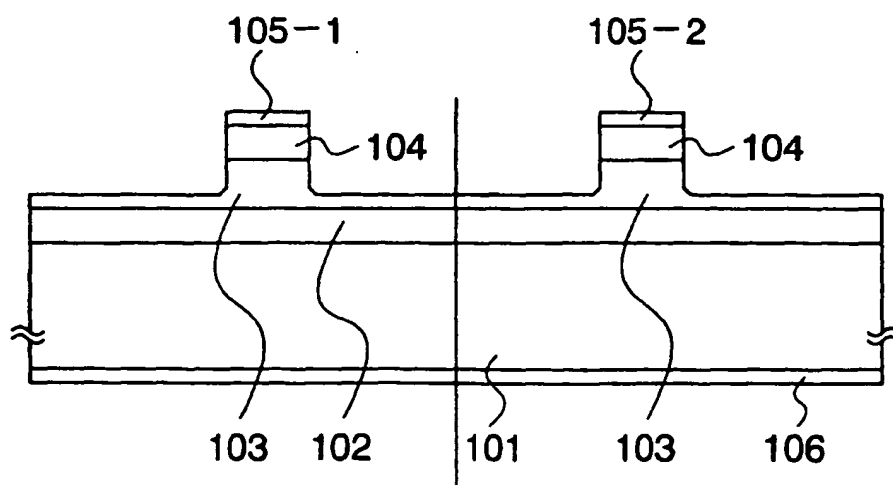
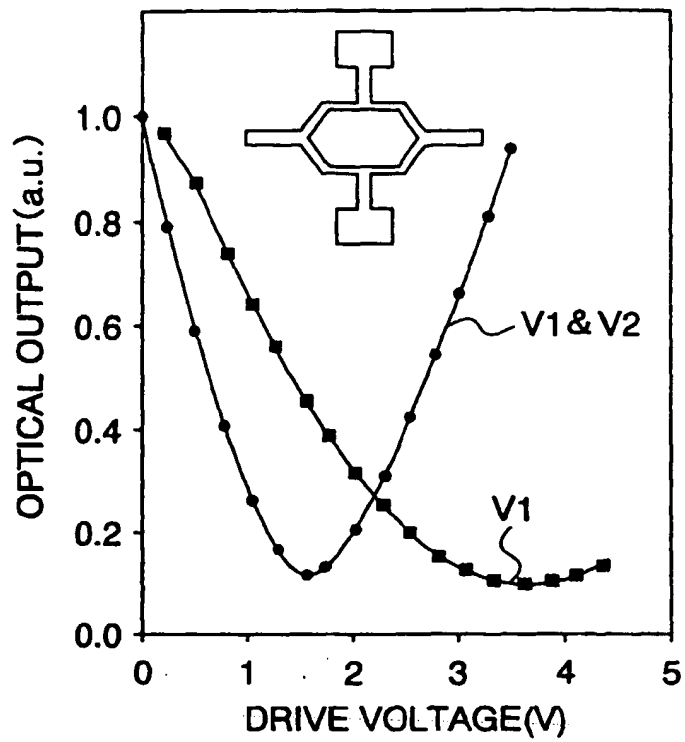
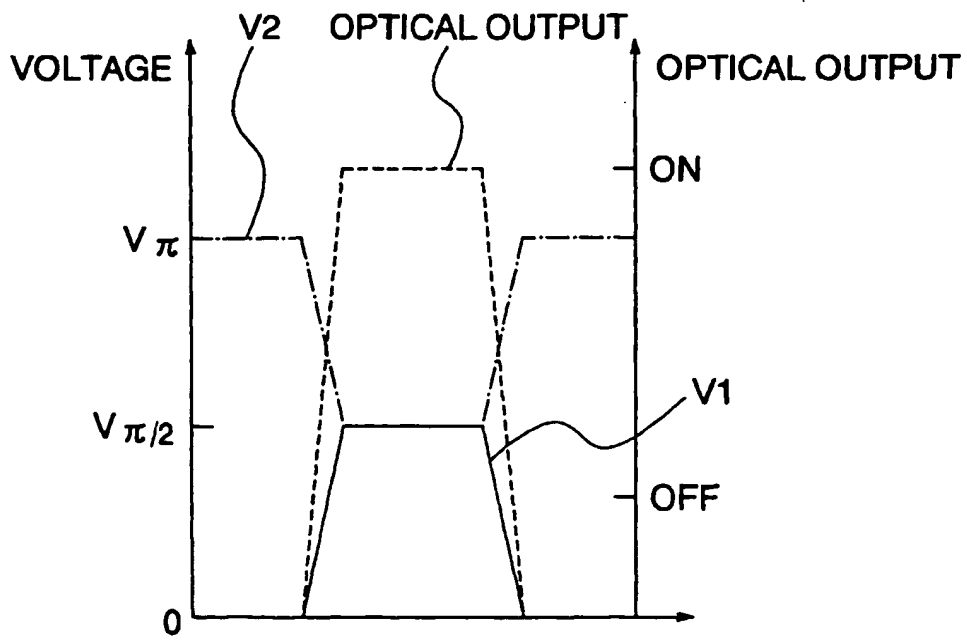


FIG. 1B PRIOR ART





**FIG. 2** PRIOR ART



**FIG. 3** PRIOR ART

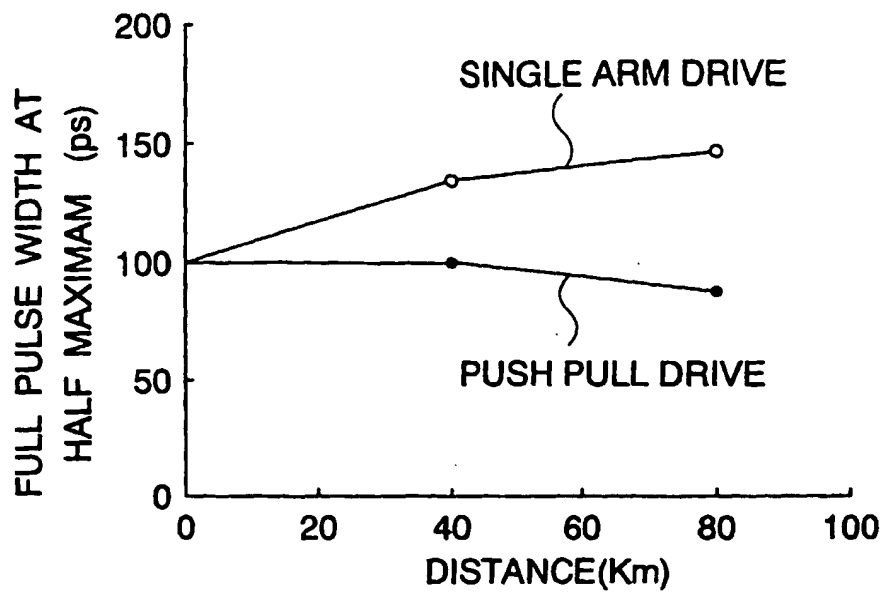


FIG. 4 PRIOR ART

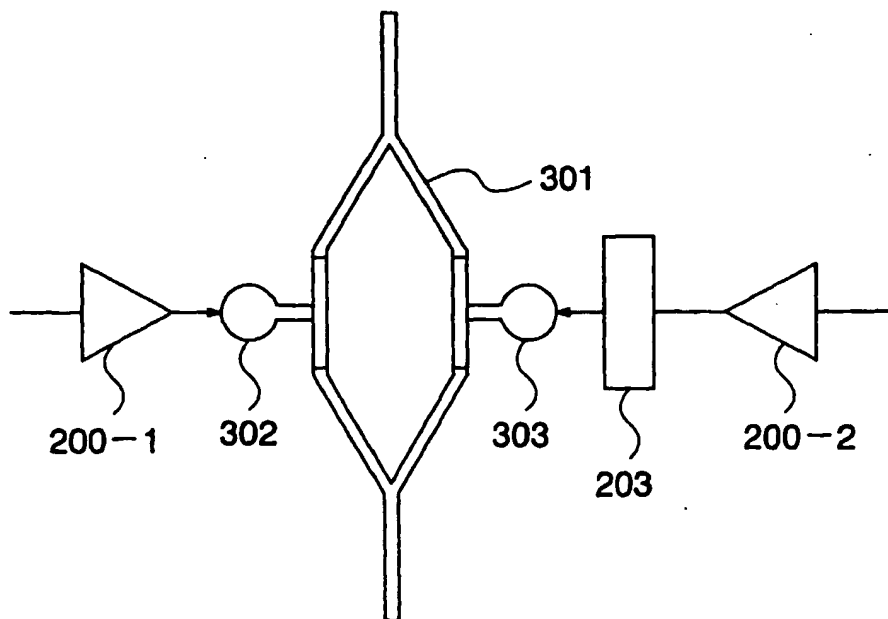


FIG. 5 PRIOR ART

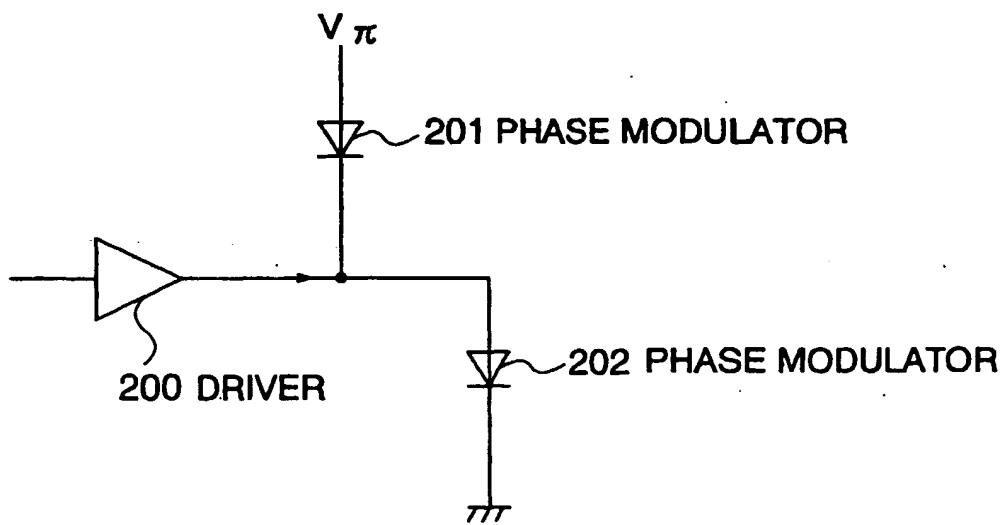


FIG. 6

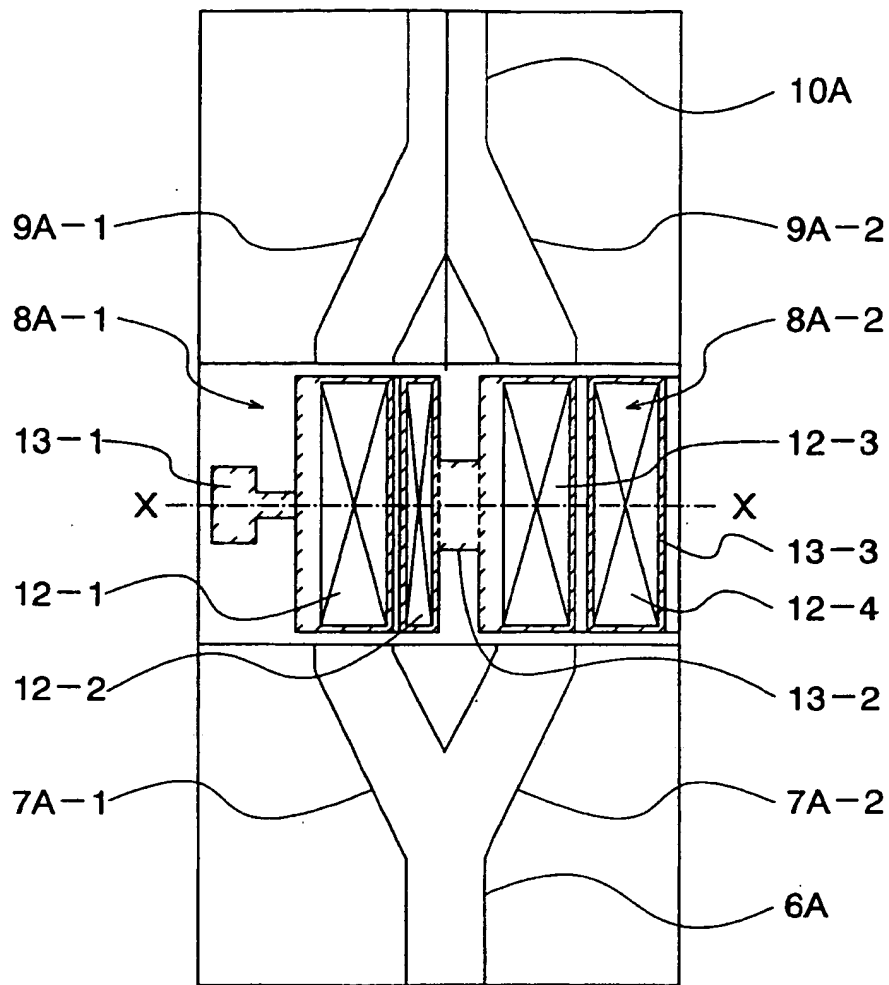


FIG. 7A

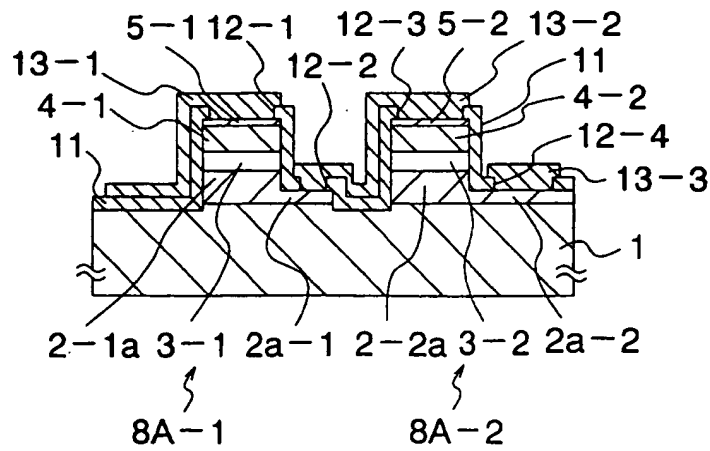


FIG. 7B

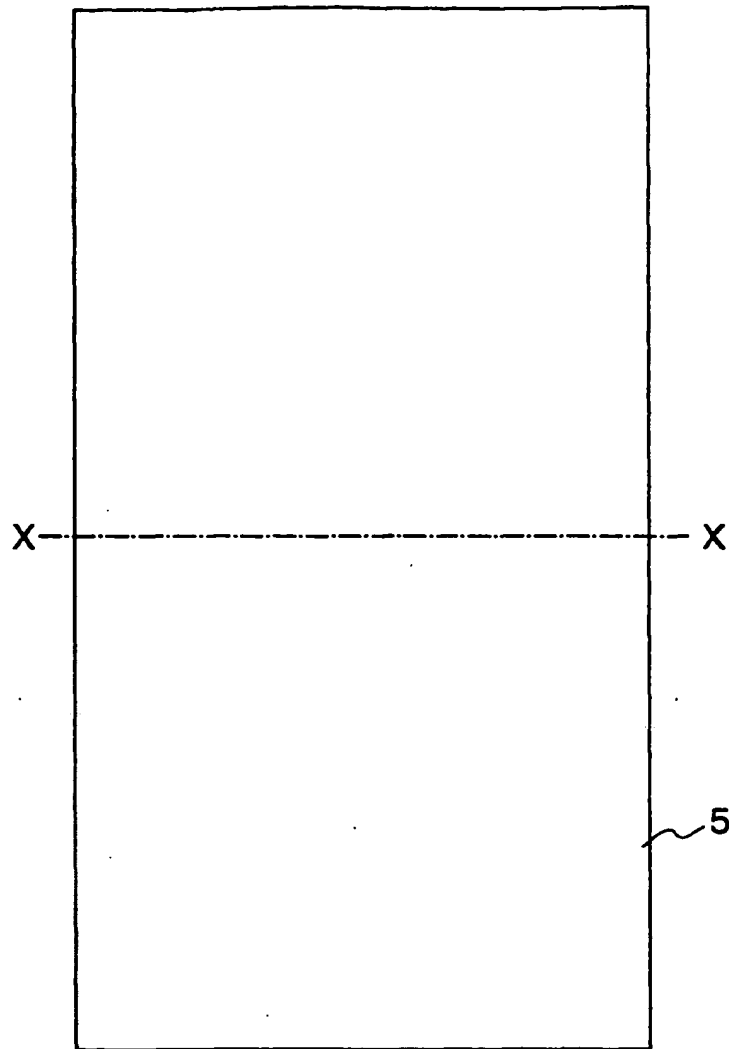


FIG. 8 A

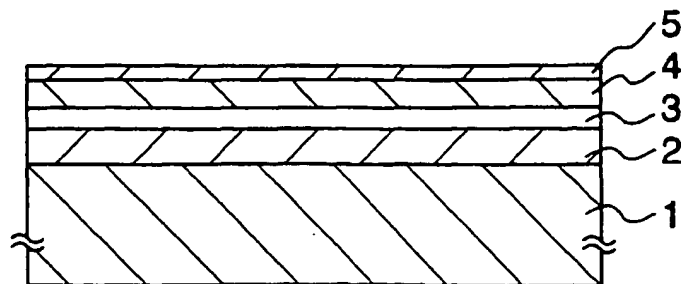


FIG. 8 B

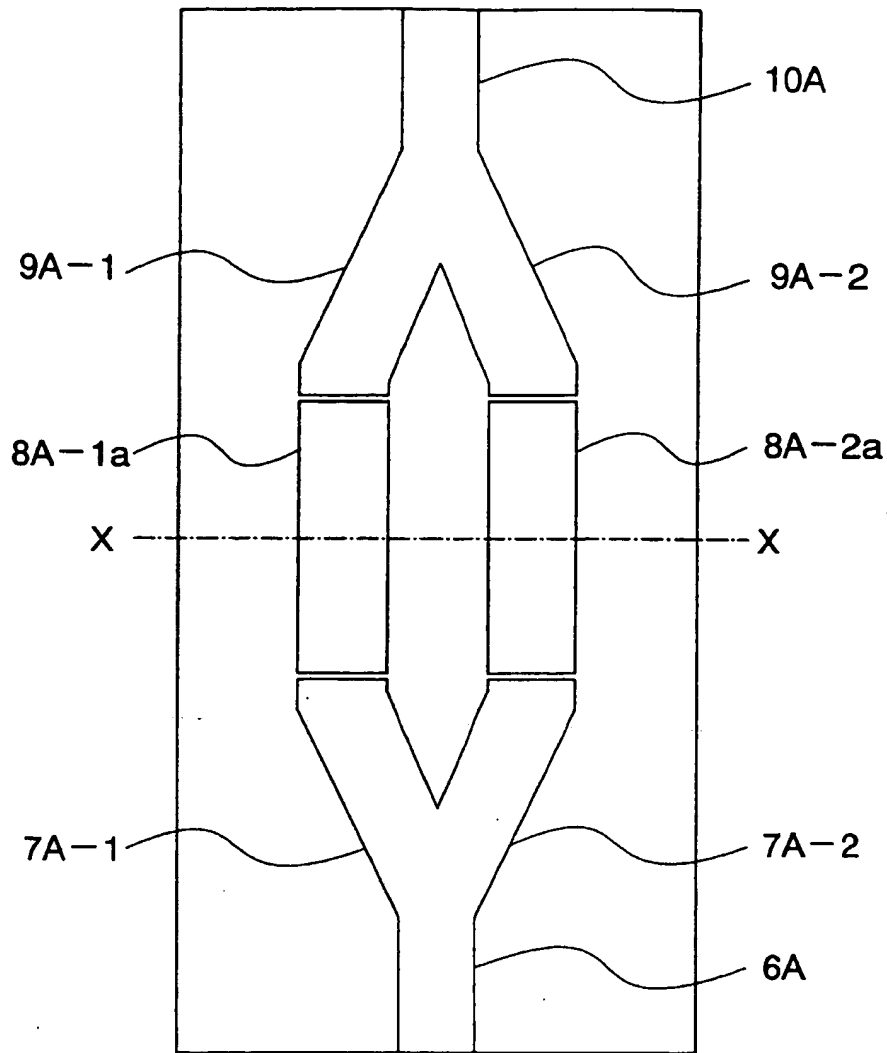


FIG. 9A

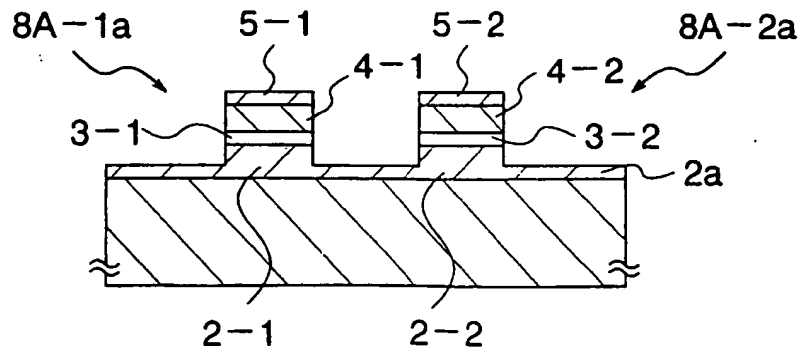


FIG. 9B

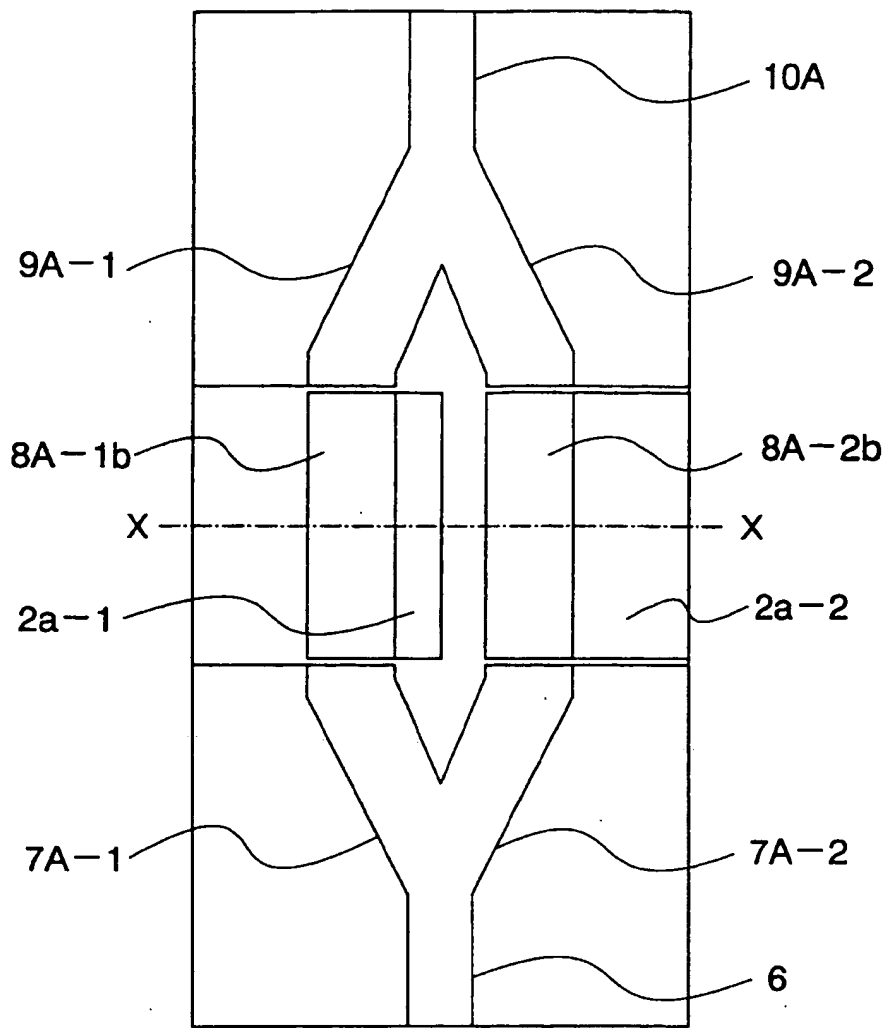


FIG. 10A

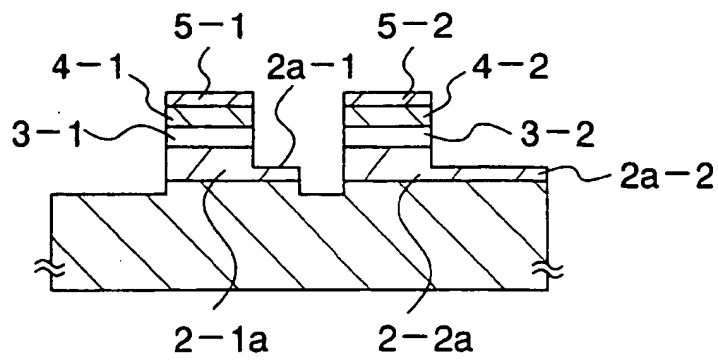


FIG. 10B

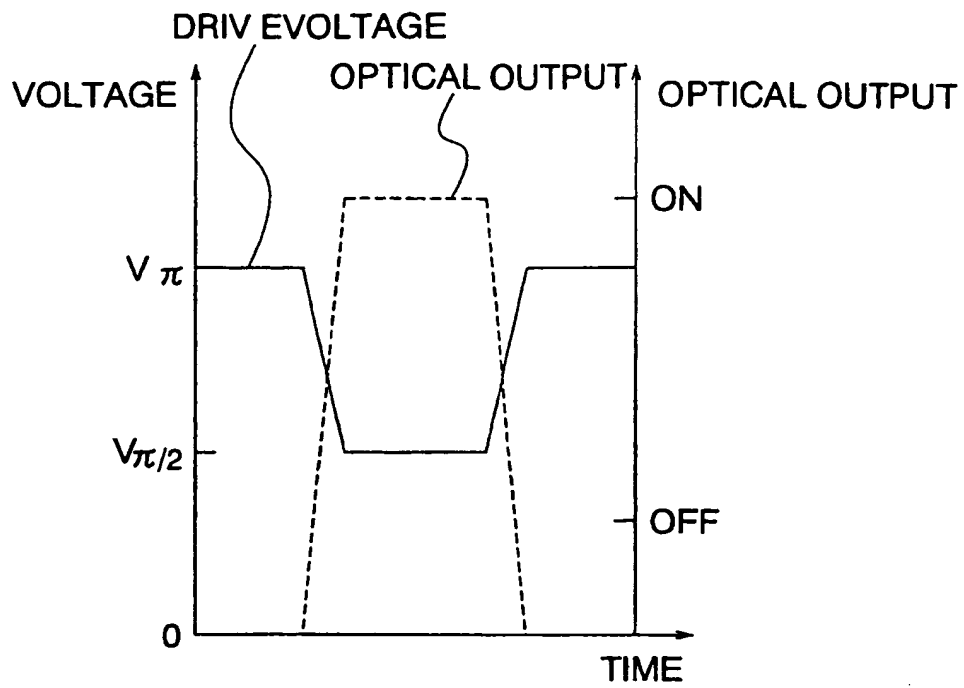


FIG. 11A

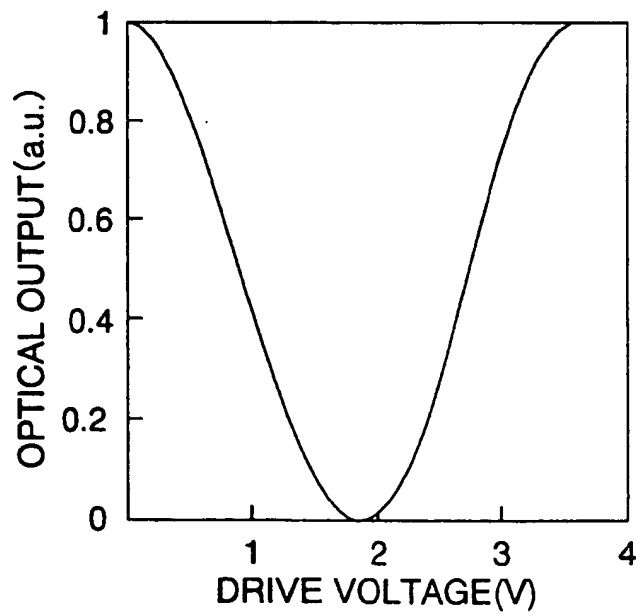


FIG. 11B



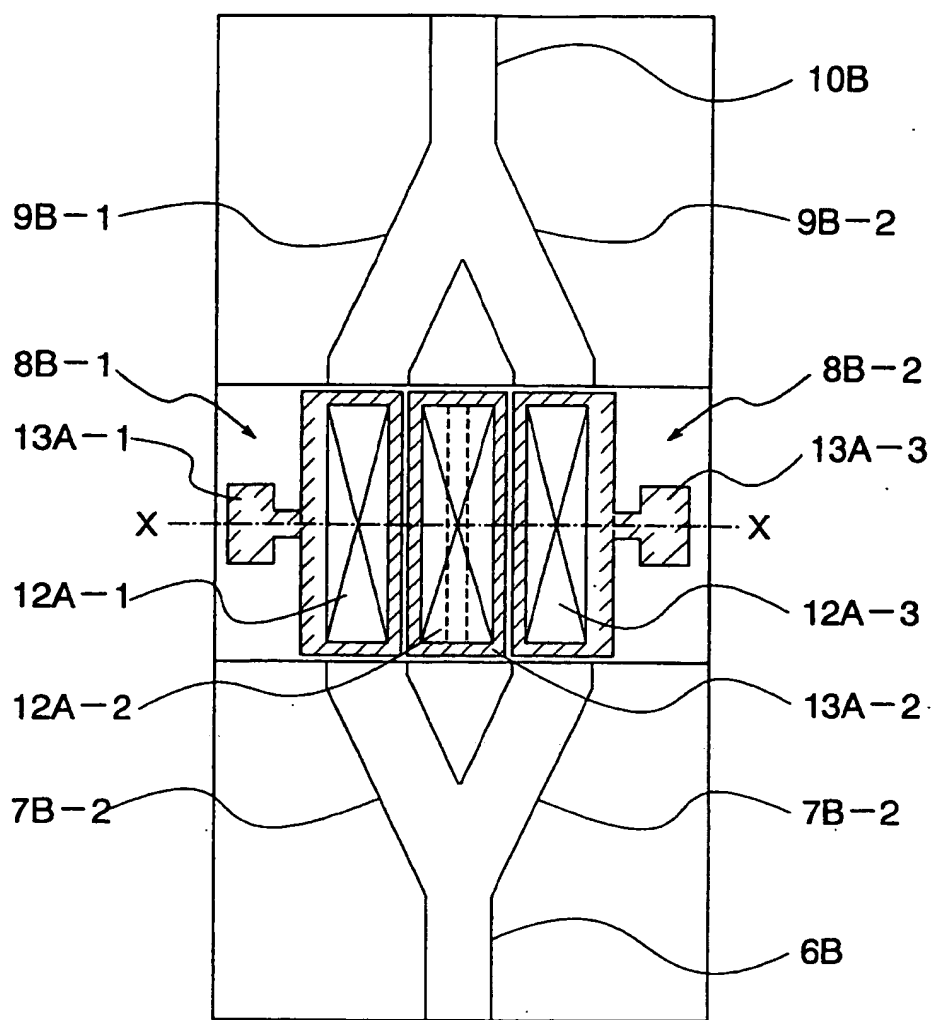


FIG. 12A

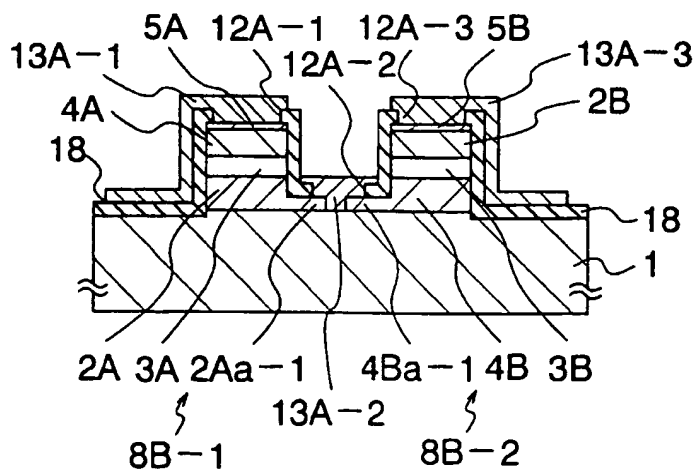


FIG. 12B

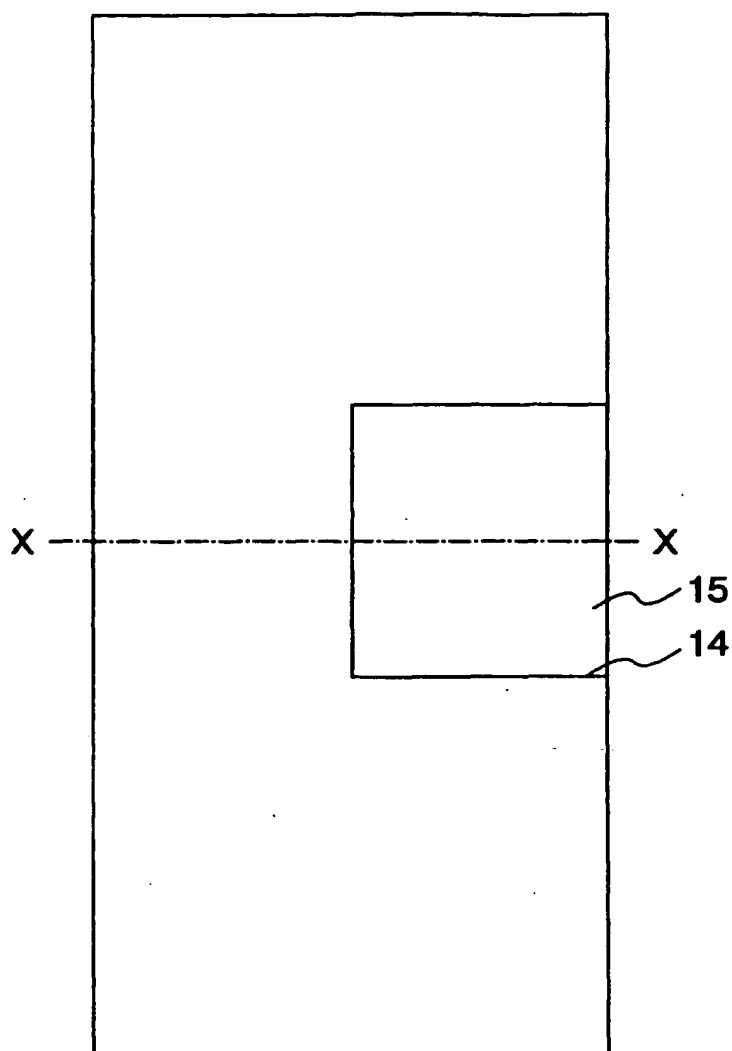


FIG. 13A

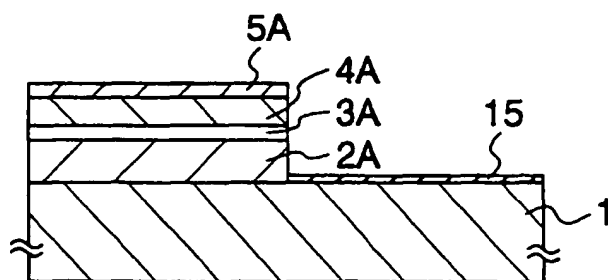


FIG. 13B

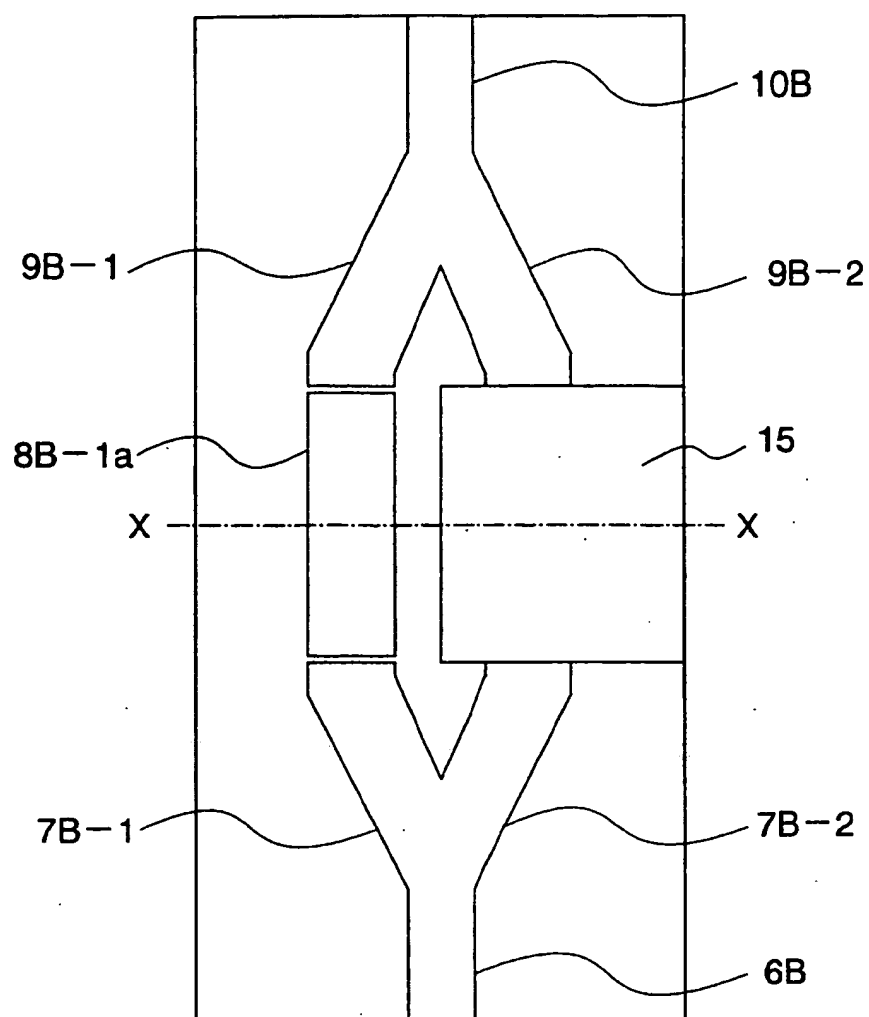


FIG. 14A

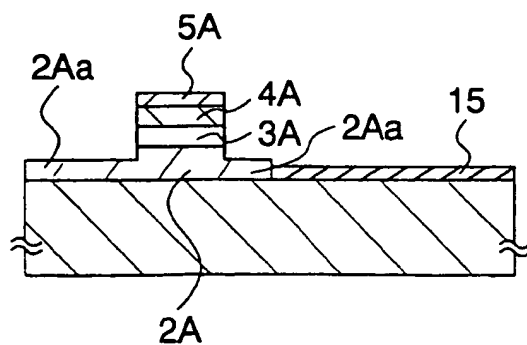


FIG. 14B

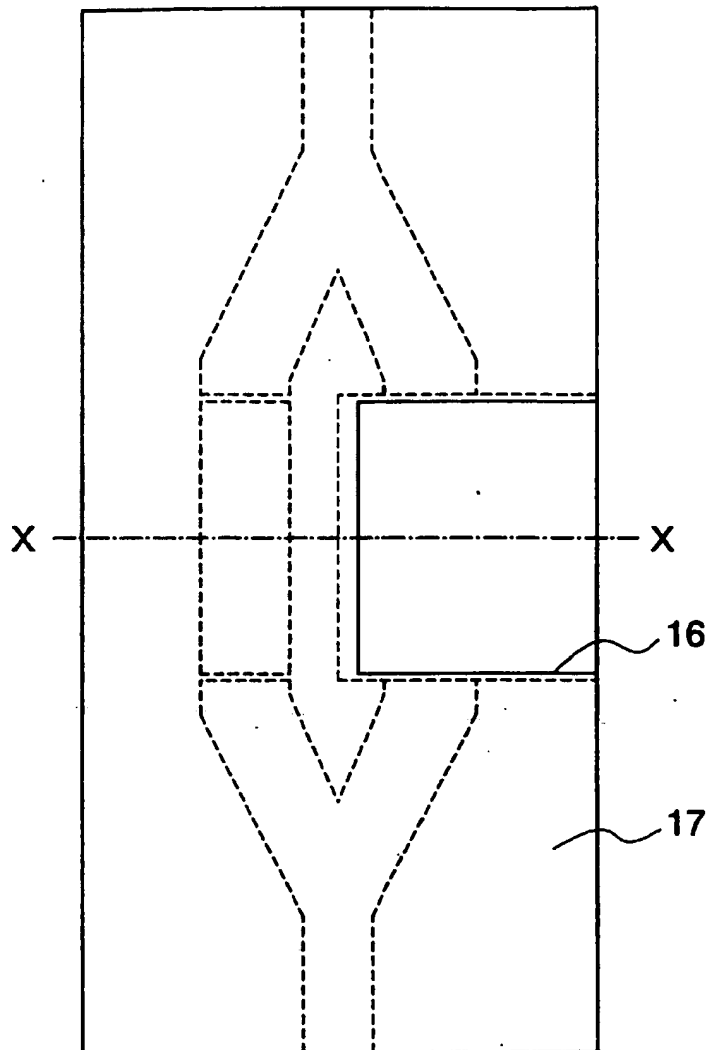


FIG. 15A

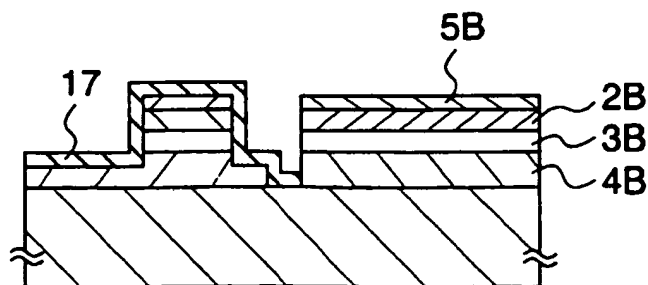


FIG. 15B

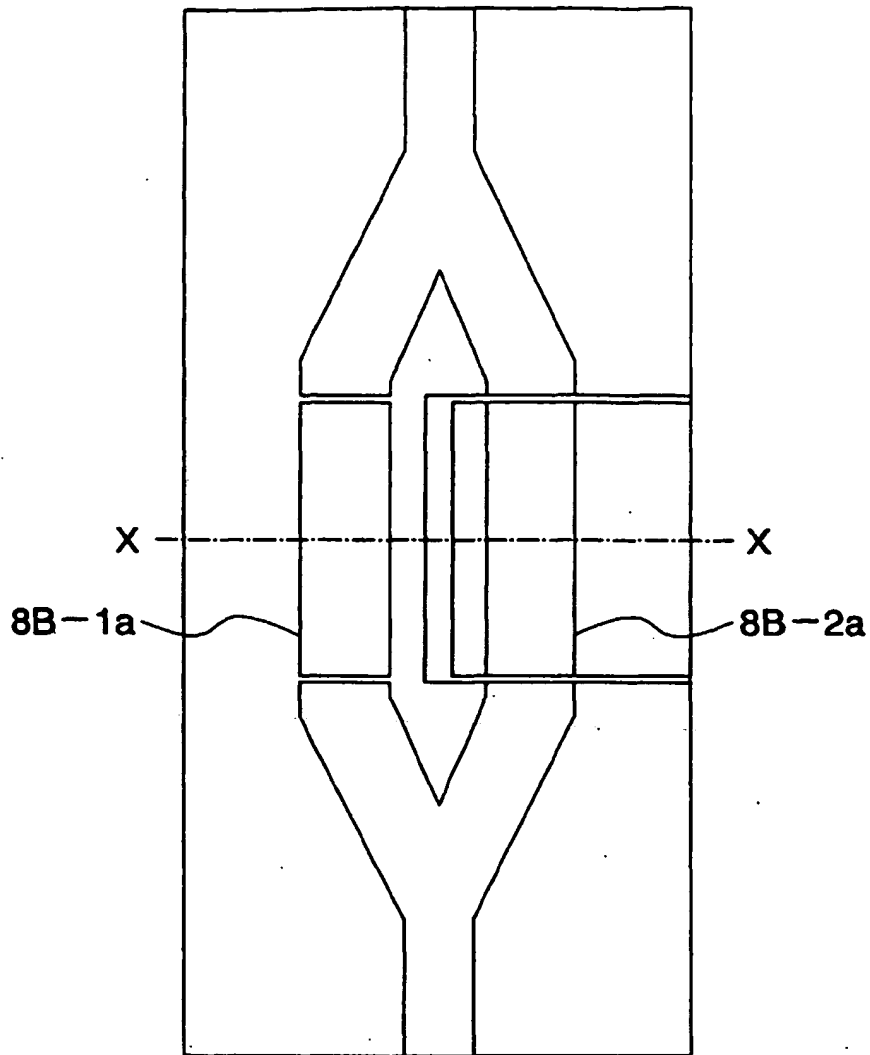


FIG. 16A

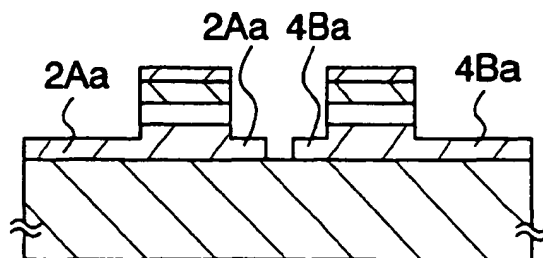


FIG. 16B

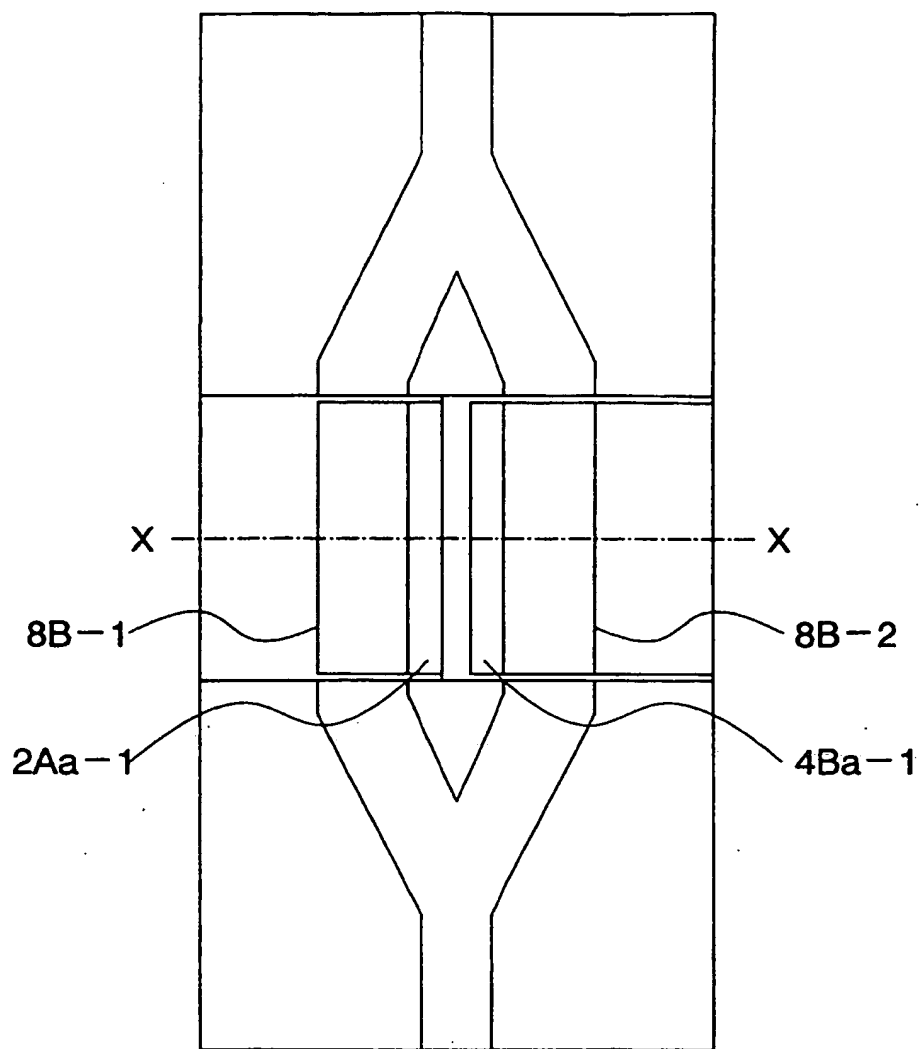


FIG. 17A

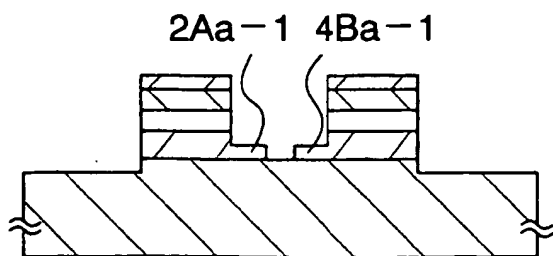
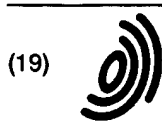


FIG. 17B



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(11) EP 0 881 526 A3

(12) EUROPEAN PATENT APPLICATION

(88) Date of publication A3:  
26.04.2000 Bulletin 2000/17

(51) Int. Cl.<sup>7</sup>: G02F 1/225

(43) Date of publication A2:  
02.12.1998 Bulletin 1998/49

(21) Application number: 98109543.3

(22) Date of filing: 26.05.1998

(84) Designated Contracting States:  
AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU  
MC NL PT SE  
Designated Extension States:  
AL LT LV MK RO SI

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(30) Priority: 28.05.1997 JP 13850597

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(54) Semiconductor Mach-Zehnder modulator

(57) A semiconductor Mach-Zehnder modulator comprises a pair of phase modulator arm waveguides (8A-1, 8A-2) for a push-pull modulation. A first electrode (13-1) connected to p-type cladding layer (4-1) of modulator arm (8A-1) is maintained at a negative potential  $V_{\pi}$ , a second electrode (13-2) connected to n-type cladding layer (2-1a) of modulator arm (8A-1) and p-type cladding layer (4-2) of modulator arm (8A-2) is driven by a drive voltage, and a third electrode (13-3) connected to n-type cladding layer (2a-2) of modulator arm (8A-2) is maintained at a ground potential. The drive voltage changes between  $V_{\pi}$  and  $V_{\pi/2}$  for push-pull modulation by both modulator arms (8A-1, 8A-2).

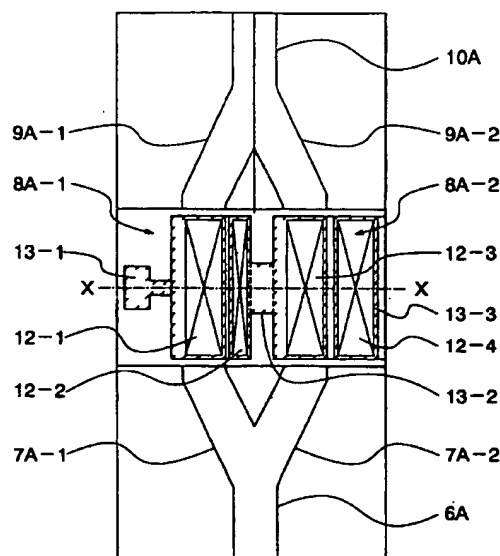


FIG. 7A

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European Patent  
Office

# EUROPEAN SEARCH REPORT

Application Number  
EP 98 10 9543

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.6)
A	HEATON J M ET AL: "MMIC COMPATIBLE GAAS/ALGAAS ELECTRO-OPTIC WAVEGUIDE MODULATORS AND SWITCHES FOR MICROWAVE APPLICATIONS" INTERNATIONAL JOURNAL OF OPTOELECTRONICS (INCL.OPTICAL COMPUTING & PROCESSING),GB,TAYLOR & FRANCIS, LONDON, vol. 10, no. 6, 1 November 1995 (1995-11-01), pages 479-488, XP000638658 ISSN: 0952-5432 * page 482; figures 3,4 *	1,8	G02F1/225
A	WALKER R G: "NOVEL PUSH-PULL DRIVE FOR BROADBAND ELECTRO-OPTIC MODULATORS IN III-V MATERIALS" EUROPEAN CONFERENCE ON OPTICAL COMMUNICATION,GB,LONDON, IEE, vol. CONF. 14, 1988, pages 565-568, XP000145187 * page 566 *	1,8	
			TECHNICAL FIELDS SEARCHED (Int.Cl.6)
A	GB 2 230 616 A (BRITISH TELECOMM) 24 October 1990 (1990-10-24) * page 5, line 01 - page 12, line 05 *	1,8	G02F
The present search report has been drawn up for all claims			
Place of search <b>THE HAGUE</b>		Date of completion of the search <b>6 March 2000</b>	Examiner <b>D1ot, P</b>
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ON EUROPEAN PATENT APPLICATION NO.**

EP 98 10 9543

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Patent document cited in search report	Publication date	Patent family member(s)	Publication date
GB 2230616    A	24-10-1990	NONE	

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For more details about this annex : see Official Journal of the European Patent Office, No. 12/82